Table 4 Disciplinary Cases of Chinese Medicine Practitioners

Table 4(1) Disciplinary Cases Received over the Period 2002 to 2017

| Category | | Year | | | | | | | | Total | | | | | | | |
|----------------------------------|------|------|------|------|------|------|------|------|------|-------|------|------|------|------|------|------|-------|
| (see index) | 2002 | 2003 | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | Total |
| (i) Discipline and Conduct | 5 | 13 | 7 | 9 | 15 | 5 | 9 | 10 | 4 | 9 | 12 | 6 | 42 | 4 | 8 | 4 | 162 |
| (ii) Professional Responsibility | 0 | 3 | 14 | 24 | 15 | 12 | 10 | 34 | 26 | 27 | 50 | 28 | 55 | 33 | 32 | 53 | 416 |
| (iii) Professional Ethics | 5 | 12 | 10 | 13 | 12 | 8 | 4 | 3 | 2 | 2 | 3 | 4 | 6 | 3 | 4 | 3 | 94 |
| (iv) Practising Rules | 21 | 36 | 33 | 41 | 17 | 24 | 27 | 20 | 32 | 27 | 31 | 43 | 55 | 66 | 52 | 41 | 566 |
| (v) Medical Practice | 36 | 27 | 43 | 50 | 51 | 68 | 49 | 32 | 20 | 27 | 28 | 24 | 5 | 1 | 1 | 21 | 483 |
| (vi) Practice Advertising | 186 | 181 | 219 | 72 | 55 | 26 | 22 | 10 | 56 | 193 | 101 | 64 | 198 | 79 | 112 | 39 | 1,613 |
| (vii) Others | 0 | 0 | 0 | 0 | 2 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 6 |
| Total | 253 | 272 | 326 | 209 | 167 | 145 | 121 | 109 | 142 | 285 | 225 | 169 | 361 | 186 | 209 | 161 | 3,340 |

Table 4(2) Disciplinary Cases Handled over the Period 2002 to 2017

| | | | Cases Carried | | | | |
|----------------------------------|-------------------|----------------------|----------------------------|--------------------------------------|-----------|------------|--|
| Category (see index) | Cases Received | Not Substantiated | Advisory Letters Issued | Inquiry by Practitioners Board | Sub-total | Forward to | |
| (i) Discipline and Conduct | 162 | 52 | 3 | 103 | 158 | 4 | |
| (ii) Professional Responsibility | 416 | 226 | 137 | 30 | 393 | 23 | |
| (iii) Professional Ethics | 94 | 72 | 21 | 0 | 93 | 1 | |
| (iv) Practising Rules | 566 | 228 | 283 | 41 | 552 | 14 | |
| (v) Medical Practice | 483 | 289 | 131 | 47 | 467 | 16 | |
| (vi) Practice Advertising | 1,613 | 435 | 1,148 | 24 | 1,607 | 6 | |
| (vii) Others | 6 | 3 | 1 | 2 | 6 | 0 | |
| Total | 3,340 | 1,305 | 1,724 | 247 | 3,276 | 64 | |



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- (i) Discipline and Conduct: A CMP must abide by the law. If a CMP has been convicted of an offence punishable with imprisonment in Hong Kong or elsewhere (irrespective of whether a prison term is imposed or not), or found guilty of misconduct in a professional respect, he/she must report that to the Practitioners Board within 28 days from the date of the conviction or the professional misconduct finding.
- (ii) Professional Responsibility: A CMP must be professionally responsible to a patient; must explain patiently to a patient about that patient's medical condition; must work hard to improve his own professional standard; must ensure that his professional capability is not adversely affected when performing his professional duties; and must make medical referrals if necessary.
- (iii) Professional Ethics: A CMP should not abuse his professional position; disclose information obtained from his patients; receive payment for patient referrals; disparage other CMPs; or flaunt himself/herself. A CMP should best serve the medical interests of his patients.
- (iv)Practising Rules: A CMP should display notification of his/her being listed as a listed CMP/ practising certificate at a conspicuous place in his/her clinic; set up and keep individual patient medical records; and issue clear and legible prescriptions. He/she should refrain from prescribing excessive medicines, or from issuing professional documents which are untruthful or misleading. A listed CMP should not prescribe Schedule 1 CHM of the Chinese Medicine Ordinance.
- (v) Medical Practice: A CMP should apply relevant diagnostic techniques only after he has passed the professional assessment; act in accordance with the requirements of the relevant medical legislation; adopt treatment methods on the basis of Traditional Chinese Medicine; and should not use other treatment methods as defined under laws concerning other medical/healthcare professionals.
- (vi)Practice Advertising: A CMP must abide by the Codes of Conduct in relation to the dissemination of information to the public via signs, signboards, stationery, newspaper announcements, telephone directories and internet websites.
- (vii)Others: Any other situations.

Table 4(3) Disciplinary Inquiries Heard by the Practitioners Board in 2017

| | | Decision of the Practitioners Board | | | | | | | | |
|---|-------------------------|-------------------------------------|--------------------------------|--|----------------|-----------|--------------------------------------|----------------------|--|--|
| Category | Cases for Inquiry | Removal | Removal [Removal period] | Removal [Removal period] (Suspension period) | Reprimand | Warning | On Record for Future Reference | Not Substantiated | | |
| (I) Registered CMP | | | | | | | | | | |
| 1. Breaching of Code of Conduct: | | | | | | | | | | |
| Prescribed cream containing Part I poison; did not sign on the issued prescriptions; and failed to include the names and dosages of all Chinese medicines contained in the prescribed medicine on the issued prescriptions | 2 | | | 1 [6 months] (24 months) (Note 1) | | | | | | |
| Failed to conform with the professional standard of CMP by prescribing excessive amount of Chinese medicines; failed to act professionally responsible to a patient by prescribing Chinese medicines that were in breach of the compatibility of Chinese medicine principle; and the contents of the issued prescription were unclear and illegible | 1 | | | 1 [6 months] (24 months) | | | | | | |
| Failed to discharge his professional responsibility to his patient by causing blisters on the skin of the patient in the course of acupuncture and cupping treatment | 1 | | | | 1 | | | | | |
| The information stated on the signboard, which is exhibited to the public to signify his practice, has violated the regulations of the Code of Professional Conduct for Registered CMPs in Hong Kong | 1 | | | | | 1 | | | | |
| Failed to act professionally responsible to a patient by leading to pneumothorax of the patient in the course of acupuncture treatment | 1 | | | | | | | 1 | | |
| 2. Convicted of offence punishable w | ith impris | sonment a | nd did not re | port to the Prac | ctitioners Boa | ard immed | iately: | | | |
| Possession for sale or for any purpose of trade or manufacture goods to which a forged trade mark was applied | 1 | | | 1 [2 months] (24 months) | | | | | | |
| 3. Convicted of offence punishable w | ith impris | sonment: | | | | | | | | |
| Obtained registration by fraud or misrepresentation by declaring he had not been convicted in Hong Kong or elsewhere of any offence punishable with imprisonment on the "Application Form for Registration as Registed CMP and Practising Certificate" | 1 | | 1 [3 months] | | | | | | | |
| Indecent assault | 1 | | | 1 [9 months] (24 months) | | | | | | |



| | | Decision of the Practitioners Board | | | | | | | | |
|--|-------------------------|-------------------------------------|--------------------------------|--|-------------|-------------------|-----------|----------------------|--|--|
| Category | Cases for Inquiry | Removal | Removal [Removal period] | Removal [Removal period] (Suspension period) | Reprimand | Reprimand Warning | | Not Substantiated | | |
| Committing an act outraging public decency | 1 | | | 1 [3 months] (24 months) | | | | | | |
| (II) Listed CMP | | | | | | | | | | |
| 1. Breaching of Code of Conduct: | | | | | | | | | | |
| Failed to conform with the professional standard of CMP by prescribing excessive amount of Chinese medicines; failed to act professionally responsible to a patient by asking the medical history of the patient in accordance with the four diagnostic methods of the Chinese medicine leading to diagnosis below standard; and did not include the preparation method on the prescription issued | 1 | 1 | | | | | | | | |
| The contents of the issued prescription are not clear nor legible; did not sign on the issued prescription; and the information contained on the prescription has violated and failed to comply with the regulations of the Code of Conduct for Listed CMPs | 1 | | | | | | 1 | | | |
| The information included on the sick leave certificates issued to the patient has violated the regulations of the Code of Conduct for Listed CMPs | 1 | | | | | | 1 | | | |
| 2. Convicted of offence punishable with imprisonment and did not report to the Practitioners Board immediately/ within 28 days from the date of conviction: | | | | | | | | | | |
| Using an instrument or other means with intent to procure miscarriage; and carrying on or taking part in the management of a clinic which is not registered | 1 | 1 | | | | | | | | |
| Conspiracy to commit criminal intimidation; conspiracy to defraud; and making false report of commission of an offence | 1 | 1 | | | | | | | | |
| Total | 15 | (28 | 4 3.6%) | 5 (35.8%) | 1 (7.1%) | 1 (7.1%) | 2 (14.3%) | 1 (7.1%) | | |

15 disciplinary inquiry cases in total, involving 14 CMPs.

Notes:

(1) 1 registered CMP involving 2 disciplinary cases

Table 4(4)

Registration Applications Received during the period from 2002 to 2017 Requiring Consideration to Hold Inquiry

| | | Decision of the Practitioners Board | | | | | | | | |
|---|-------------------|--|---|---|---|--|--|--|--|--|
| Category | Cases Received | Registration Approved without Inquiry | Application for Withdrawal of Registration Accepted | Registration Approved after Inquiry | Registration Rejected after Inquiry | | | | | |
| (i) Convicted of offence punishable with imprisonment | 157 | 92 | 8# | 42 | 15* | | | | | |
| (ii) Committed professional misconduct | 2 | 1 | 1 | 0 | 0 | | | | | |
| (iii) Obtained registration by fraud or misrepresentation | 4 | 0 | 2 | 0 | 2 | | | | | |
| Total | 163 | 93 (57.1%) | 11 (6.7%) | 42 (25.8%) | 17* (10.4%) | | | | | |

^{* 4} applicants lodged appeals to the Council and were approved to register after appeal hearing.

Table 5

Numbers of Licensed Chinese Medicines Traders (CMT) (as at 31 December 2017)

Numbers of CMT holding licences

| Classification of CMT | Number |
|-------------------------|--------|
| 1. Retailers in CHM | 4,822* |
| 2. Wholesalers in CHM | 943 |
| 3. Wholesalers in pCm | 1,015 |
| 4. Manufacturers in pCm | 275# |
| Total | 7,055 |

^{*} Including 125 retailer licences in CHM (trade show)

[#] The applicant withdrew the application by written prior to the commencement of the inquiry.

[#] Including 18 manufactures of pCm who also hold the Certificate for Manufacturer (Good Manufacturing Practice in respect of pCm) (GMP Certificate)